



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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02/08/97 06/05/97 O'DONNELL

0292/6365

MICHAEL L. GILMAN,
NIXON HARRIS DEWANE AND NOYLE
CLINTON SQUARE
P O BOX 1051
ROCHESTER NY 14603

NOT ASSIGNED

1804

DATE MAILED:

06/05/97

NOTICE TO FILE MISSING PARTS OF APPLICATION FILED UNDER 37 CFR 1.60
Filing Date Granted

A filing date has been granted to this application filed under 37 CFR 1.60. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3 of \$_____ for a ☐ large entity or ☐ small entity in compliance with 37 CFR 1.27 (37 CFR 1.16 (e)). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ large entity, ☐ small entity (verified statement filed), is \$ 0

☐ 1. The statutory basic filing fee is:

☐ missing.

☒ insufficient.

Applicant must submit \$_____, to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$_____, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel the additional claims for which fees are due.

☐ 3. The application was filed under 37 CFR 1.60. The copy of the executed oath or declaration from the prior application is missing.

A copy of the oath or declaration filed to complete the prior application is required.

☒ 4. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37CFR 1.821-1.825."

☐ 5. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Michael L. Gilman
Customer Service Center

Initial Patent Examination Division (703) 308-1202

19603/10213 MB
RESPONSE DUE: AUG 5, 1997

Application No.: 08/828323

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE



Gp 1884

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael O'Donnell
Serial No.: 08/828,323
Filed: March 28, 1997
For: DNA POLYMERASE III HOLOENZYME

REC'D
AUG 28 1997
GROUP 1800

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Box: Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(d), which was mailed by the United States Patent and Trademark Office on June 5, 1997, enclosed are:

- (X) Statement in Accordance With 37 C.F.R. § 1.821(f).
- (X) Computer readable 3.5" Diskette and paper copy of Sequence Listing.
- (X) A Copy of the Notice to File Missing Parts.
- (X) No Fees are due as calculated below:

FEE FOR EXTENSION OF TIME	_____ months	\$0
SURCHARGE 37 CFR 1.16(e)		\$0
ADDITIONAL FEES		\$0
TOTAL FEES SUBMITTED HERewith		\$0

- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Dated: August 5, 1997

Karla M. Weyand
Karla M. Weyand
Registration No. 40,223

NIXON, HARGRAVE, DEVANS & DOYLE LLP
Clinton Square, P.O. Box 1051
Rochester, New York 14603
Telephone: (716) 263-1508
Telecopy: (716) 263-1600

ROC10:117069

Certificate of Mailing - 37 CFR 1.8 (a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.	
8/5/97	<u>Wendy L. Harrold</u>
Date	Wendy L. Harrold